

1 IN THE KENTUCKY PUBLIC SERVICE COMMISSION

2  
3  
4 IN RE: INVESTIGATION:

5 Case No. 2003-00433  
6 AN ADJUSTMENT OF THE GAS AND ELECTRIC RATES  
7 TERMS, AND CONDITIONS OF LOUISVILLE GAS AND  
8 ELECTRIC COMPANY

9 and

10 Case No. 2003-00434  
11 AN ADJUSTMENT OF THE ELECTRIC RATES, TERMS, AND  
12 CONDITIONS OF KENTUCKY UTILITIES COMPANY

13 \* \* \*

14  
15 SWORN STATEMENT

16 OF

17 CHARLES "CHUCK" BUECHEL

18 JULY 25, 2005  
19  
20

---

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9 4-1-05 *hda*

I N D E X

Exhibit No. 1.....	3
(June 29, 2005 letter to Chuck Buechel from Jonathan D. Goldberg)	
Exhibit No. 2.....	21
(Sign-in sheets for April 28, 2004 and May 4, 2004)	

A P P E A R A N C E S

SPECIAL GENERAL COUNSEL TO THE PUBLIC SERVICE  
COMMISSION:

JONATHAN D. GOLDBERG  
Goldberg & Simpson  
3000 National City Tower  
101 South Fifth Street  
Louisville, Kentucky 40202

1                   The sworn statement of CHARLES "CHUCK"  
2 BUECHEL, taken in the offices of the Public Service  
3 Commission, 211 Sower Boulevard, Frankfort, Kentucky,  
4 on Monday, the 25th day of July, 2005, at  
5 approximately 1:00 p.m.

6  
7                   EXAMINATION

8  
9           BY MR. GOLDBERG:

10           Q.       Would you state your full name,  
11 please.

12           A.       My name is Charles Buechel. It's  
13 B-U-E-C-H-E-L.

14           Q.       And do you go by the name Chuck?

15           A.       That's correct.

16           Q.       All right, sir. And where do you --  
17 where is your business address?

18           A.       Well, it's recently moved. It's now  
19 at 116 Carrie Court, C-A-R-R-I-E, in Lexington, and  
20 it's 40515.

21           Q.       Okay. I see my letter sent to  
22 181 North Mill Street found you.

23           A.       That's correct. It was -- I moved  
24 July 6, so your letter predates that.

25                   (DEPOSITION EXHIBIT NO. 1 PREVIOUSLY

1 MARKED)

2 Q. All right, sir. And what is your  
3 occupation?

4 A. I'm a utility consultant.

5 Q. All right. How long have you been a  
6 utility consultant?

7 A. Since February of '89, so what's that,  
8 16, 17 years?

9 Q. Okay.

10 A. Sixteen and a half years.

11 Q. And are you a self-employed utility  
12 consultant?

13 A. Yes.

14 Q. All right. And prior to being a  
15 utility consultant, what did you do occupationwise?

16 A. I was at the -- immediately prior to,  
17 I was at the Public Service Commission. I was --  
18 when I last left here in '89, I was deputy executive  
19 director. Prior to that, I was in the development  
20 cabinet in state government, and prior to that I  
21 taught at Morehead State University for three years.

22 Q. When you say "Public Service  
23 Commission," you mean the Kentucky Public Service  
24 Commission --

25 A. That's correct.

1 Q. -- here in Frankfort.

2 All right, sir. I'm going to talk to  
3 you about, as my letter indicated, two rate-making  
4 cases. We've described those as 2003-00433, which  
5 you would know as the LG&E rate case, and then  
6 2003-00434, which you would know as the KU utilities  
7 rate case. Do you recall when you first became aware  
8 of the filing of these rate cases? And let me give  
9 you a date in time. Rate cases were filed in  
10 December of 2003.

11 A. I probably knew that they were in the  
12 works September, October of 2002, I guess -- or 2003.

13 Q. '3.

14 A. Yeah.

15 Q. And how would you have known that?

16 A. I had just been contacted by North  
17 American Stainless Steel to -- actually at that time  
18 there had been a complaint filed by a North American  
19 Stainless Steel against Kentucky Utilities. They had  
20 a special contract that was getting ready to expire.  
21 They were having trouble getting any negotiations  
22 going. KU basically took the position that they were  
23 going to file a tariff. They did, in fact, file a  
24 tariff, and then things were put on hold because we  
25 were notified that they were going to get ready to

1 file a rate case and that tariff would be part of the  
2 rate case.

3 Q. Do I take it that you were a  
4 consultant during that period of time for North  
5 American Stainless?

6 A. That's correct.

7 Q. Okay. And as I understand it, the  
8 North American Stainless case, and presumably the  
9 tariff, were ultimately folded in, if you will, or  
10 made part of the rate-making case 00434.

11 A. That's correct.

12 Q. Okay. Tell me generally, without  
13 disclosing any great confidences, what you did for  
14 North American Stainless with regard to the rate  
15 case.

16 A. Well, they -- when we got the proposal  
17 from KU of what they -- their tariff was going to  
18 look like, we had some concerns that it was not very  
19 cost justified, which is one of the rate-making  
20 standards this commission and all commissions in the  
21 country go by, that there should be some basis for  
22 the rate and that basis should be on cost. It turned  
23 out North American Stainless Steel was paying a  
24 pretty high rate. It was turned out to be evidenced  
25 by some pretty high rates of return that they were

1 paying relative to other customers. And so that we  
2 wound up testifying not only was the rate too high,  
3 the rate design was improper. We had some concerns,  
4 since they were going to be the only customer on the  
5 tariff, that it wasn't really a tariff. It was more  
6 in the nature of a special contract and you didn't  
7 really need a tariff. I guess, ultimately the  
8 commission and KU prevailed on that one and did get  
9 it as a tariff, which North American Stainless Steel  
10 did agree to in that negotiations during the rate  
11 case.

12 Q. Okay. They became part of the  
13 ultimate settlement of the matter?

14 A. Right.

15 Q. Okay. Do you know how much North  
16 American Stainless was paying per year to KU prior to  
17 the filing of the rate case?

18 A. Prior to the filing of the rate case?

19 Q. Yes, sir.

20 A. No.

21 Q. And let's use the --

22 A. That's kind of what I was talking to  
23 your paralegal about, if I needed to bring any of  
24 this information. But it was well into the couple  
25 million dollars.

1           Q.       All right. Fair enough. The reason  
2 I'm asking you is I had general counsel in here  
3 earlier today, and he could not recall either, but  
4 thought it to be 2 1/2 million. And I presume that  
5 would be consistent with your feeling.

6           A.       That's in the ballpark.

7           Q.       All right, sir. When do you recall  
8 first participating in either of the two rate-making  
9 cases, the proceedings?

10          A.       Explain what you mean by  
11 participating.

12          Q.       Did you give testimony?

13          A.       I filed testimony, yes.

14          Q.       All right, sir. And when did you file  
15 your testimony; do you recall?

16          A.       Well, we had filed some testimony, I  
17 believe, in the previous tariff filing that got  
18 folded into the rate case, and then we filed  
19 additional testimony in the rate case, whatever the  
20 deadline was for that. So let's see. If they filed  
21 it in December, it probably would have been like  
22 March or April, something like that, would have been  
23 the filing deadline for the testimony.

24          Q.       Okay. I'm going to give you several  
25 dates. You do not appear on the sign-in sheets for



1 all of these dates, and that may or may not be  
2 accurate as we go through it. I'm going to point it  
3 out to you, and you tell me if you believe you were  
4 there. The first informal conference was April the  
5 28th, 2004, and I am not showing you as being in --  
6 well, let me take that back. I do show you as being  
7 in attendance on that date. Do you recall being  
8 present on or about April 28, 2004?

9 A. Can you refresh my memory? When was  
10 the hearing actually scheduled to start?

11 Q. This was the informal conference.  
12 Actual hearing was to begin, as I understand it, on  
13 May the 4th, prehearing on May the 3rd. And this is  
14 April 28th, the informal conference.

15 A. Right.

16 Q. Do you have any recollection of the  
17 informal conference?

18 A. Vaguely. I mean, I -- you've got to  
19 remember, North American Stainless Steel, although it  
20 was a very important issue to them in the scheme of  
21 the rate case, it was not a very big issue. I mean,  
22 there was a lot of bigger fish to fry, I guess.

23 Q. All right, sir. You were -- you were  
24 not representing anybody other than North American  
25 Stainless --

1 A. That's correct.

2 Q. -- in these two rate cases?

3 A. Actually just the KU rate case.

4 Q. Just the KU rate case. And in  
5 addition to your testimony, what other function were  
6 you supplying to North American Stainless?

7 A. In addition to responding to data  
8 requests and helping prepare those responses and  
9 reviewing some of our other responses to make sure  
10 all our testimony was, in fact, consistent, the other  
11 one would have been to attend any of the hearings,  
12 make sure I understood any issues in case I was  
13 called to the stand to testify. I was asked to  
14 attend any conferences as they came directly up on  
15 the rate case, so that -- that's probably why I would  
16 have been shown as being at this particular  
17 conference.

18 Q. All right, sir.

19 A. It was probably scheduled informal  
20 conference, and then other meetings that may have  
21 come out of that relative to actually working on the  
22 rate.

23 Q. Now, I'm showing you as also appearing  
24 on May the 4th.

25 A. That was the day the hearing was

1 scheduled to start?

2 Q. Yes, the day the hearing was scheduled  
3 to start. And do you recall participating in any  
4 negotiations on or about May the 4th, 2004 with other  
5 parties in the two rate cases?

6 A. At that time, we did start to  
7 negotiate, I believe. Again, I can't remember the  
8 exact dates.

9 Q. All right.

10 A. But probably that was when we actually  
11 started negotiating a bit more with KU, more directly  
12 with them. In a separate meeting off to the side.

13 Q. With all the parties present, I  
14 presume, the best you knew then?

15 A. I think it was just KU and the  
16 representatives of North American Stainless Steel.  
17 I'm trying to recall if there was anybody else in  
18 there at the time.

19 Q. Was it on May the 4th?

20 A. It was like one or two days in there,  
21 so it probably started on the 4th and went over  
22 another day or two. And I don't recall the exact  
23 dates.

24 Q. Okay. Do you recall participating in  
25 any negotiation sessions on either of the two rates

1 with all of the parties involved?

2 A. We sat in the room while those  
3 negotiation meetings were going on. Again, they  
4 knew -- they kept our issue as a side issue,  
5 basically.

6 Q. And were you there, frankly, to give  
7 aid and assistance and advice only in the North  
8 American Stainless case versus KU?

9 A. That's correct.

10 Q. All right. I'm representing to you  
11 that those negotiations on May 4, 5 and 6, but only  
12 showing you on the sign-in sheet for the 4th and not  
13 the 5th and the 6th. They're not infallible, but I  
14 was just curious whether or not you had a  
15 recollection of participating in actual negotiation  
16 of some kind more than one day.

17 A. There was certainly more than one -- I  
18 can't remember exactly how our issue played out.  
19 There would have been another day or so when I was  
20 here.

21 Q. All right.

22 A. I remember at least meeting in a  
23 separate room with KU and the NAS people at least one  
24 other day, maybe two other days, quite honestly.

25 Q. Besides KU and the North American

1       Stainless people, would anybody else -- was anybody  
2       else present in your discussions?

3               A.       No.

4               Q.       Okay. And to set the stage for me so  
5       I can visualize this, are you in the same room with  
6       all the other parties but off to the side discussing  
7       with KU or is there a separate breakout room?

8               A.       We had a separate breakout room for  
9       our meetings with the KU people.

10              Q.       Okay. And who participated on behalf  
11       of KU, as best you can recall?

12              A.       You're going to really press me on  
13       some names here.

14              Q.       Just anybody you recall. It's not a  
15       quiz.

16              A.       I know Mike Beer, who was the head of  
17       regulatory at the time, was there for some of them.  
18       I can't even think of the fellow's name who was the  
19       witness for KU.

20              Q.       Seelye?

21              A.       Huh?

22              Q.       Does the name Seelye mean anything to  
23       you?

24              A.       Yeah, but he wasn't on this particular  
25       tariff. They had another fellow who was the witness

1 on this tariff.

2 Q. For KU.

3 A. Right.

4 Q. Okay. Anybody else?

5 A. Walter Sales was the attorney for KU,  
6 the outside counsel for them.

7 Q. Mr. Riggs, Kendrick Riggs?

8 A. He did not participate. Walter Sales  
9 was basically his replacement for these.

10 Q. Okay.

11 A. There were a couple of other people  
12 from KU. I just can't ...

13 Q. Anybody from the attorney general  
14 participate in those discussions when you were  
15 present?

16 A. No, no.

17 Q. Anybody from the staff of the Public  
18 Service Commission?

19 A. No.

20 Q. Okay. And I trust none of the  
21 commissioners participated.

22 A. Oh, no.

23 Q. Okay. When you concluded your  
24 negotiation between NAS and KU, did you participate  
25 in the larger negotiation with regard to the two rate

1 cases?

2 A. No, sir. We sat through some of it  
3 just to make sure there wasn't something that came up  
4 that might have affected our issue somehow, but no.

5 Q. Okay. Presuming the last day -- and  
6 upon which negotiations occur and settlement finally  
7 occurred -- was May the 6th, do you have a  
8 recollection of having been present at the time final  
9 settlement was achieved? Not in NAS/KU's situation,  
10 but in the two rate cases as a whole?

11 A. I remember it was very anticlimactic  
12 at the end. The reason I'm hesitating, I can't  
13 remember if we got it to where they knew my services  
14 weren't going to be needed anymore and they came back  
15 on the last day without me or if, in fact, I came  
16 back that last day. And I honestly can't recall the  
17 specifics there, but ...

18 Q. All right. While you were present,  
19 you've indicated to me that none of the staff  
20 participated in your negotiations. Am I correct?

21 A. Those would be the negotiations  
22 between KU and --

23 Q. NAS --

24 A. Right.

25 Q. -- or North American Stainless.

1           A.       Right. They did participate in the  
2 broader negotiations.

3           Q.       Okay. Let me ask you some people's  
4 names to be sure that none of these people  
5 participated in your negotiation. Beth O'Donnell?

6           A.       No. She wasn't even at the commission  
7 then, I don't think.

8           Q.       All right, sir. Chairman Mark David  
9 Goss?

10          A.       No.

11          Q.       All right. Commissioner Williams,  
12 Ellen Williams?

13          A.       No.

14          Q.       Commissioner and/or former Chairman  
15 Marty Huelsman?

16          A.       No.

17          Q.       Commissioner Gary Gillis?

18          A.       No.

19          Q.       Executor or former Executor --  
20 Executive Director Tom Dorman?

21          A.       No.

22          Q.       Okay. Isaac Scott?

23          A.       No.

24          Q.       Bob Amato?

25          A.       No.



1 Q. Andrea Edwards?

2 A. No.

3 Q. Faud Sharifi?

4 A. No.

5 Q. Okay. Martha Morton?

6 A. No.

7 Q. Jeff Shaw?

8 A. No.

9 Q. Or Richard Raff?

10 A. No.

11 Q. Okay.

12 A. There was some discussions about  
13 Richard maybe attending because there was some --  
14 there was concern that our issue might hold up the  
15 general settlement. And Richard made it clear that  
16 if we didn't get it resolved, he might come in and  
17 find out what the problems were. And it was resolved  
18 before ...

19 Q. Okay. Do I take it members of the  
20 staff and members of the commission, that you  
21 yourself never had any conversations with any of them  
22 about any of the issues in these two rate cases?

23 A. That's correct.

24 Q. Okay. And my question is both here at  
25 the PSC during these sessions, from April 28th

1 through May the 12th and/or otherwise outside?

2 A. Not on these issues, no.

3 Q. Okay. All right, sir. To your  
4 knowledge, did you ever have or witness any  
5 communications between other persons here -- who were  
6 here for the negotiations and either the  
7 commissioners or staff members other than in these  
8 negotiation sessions?

9 A. It's kind of hard to be around all  
10 those people all the day and not see some social  
11 interaction between some of those folks. I didn't  
12 see anything that would even come close to thinking  
13 there was further discussion on any of the issues on  
14 the side.

15 Q. All right, sir. And again, that  
16 question other than being here, and this could be in  
17 your everyday life -- it is -- the question is  
18 directed to your everyday life. Do you have any  
19 knowledge of any of the commissioners or staff  
20 members talking about the merits of these rate cases  
21 outside of either a hearing or a negotiation session  
22 here at the PSC?

23 A. I don't have any direct knowledge.  
24 Every once in a while you hear some discussions or  
25 scuttlebutt, but I don't know of any.

1           Q.       Okay. Do you have any knowledge of  
2 anything, be it rumor or what have you with regard to  
3 communications other than at the negotiating table or  
4 in a hearing?

5           A.       The only rumor that I had heard was --  
6 I used to have a business partner who went to lunch  
7 in Midway, Kentucky, and ran into Marty Huelsman  
8 and -- I'm trying to think of the lobbyist's name for  
9 LG&E.

10          Q.       Mr. Siemens?

11          A.       Yes, George Siemens.

12          Q.       All right, sir. Other than a business  
13 partner seeing them having lunch.

14          A.       That's the most I've heard of  
15 anything, yeah.

16          Q.       Okay. And you're not aware of  
17 anything else.

18          A.       No, no, no.

19          Q.       Just for my edification, in 1989,  
20 prior to your becoming a consultant when you were  
21 here at the commission, I take it the commission had  
22 an ex parte policies and procedures as part of its  
23 manual then, or do you know?

24          A.       You know, I don't recall how specific  
25 it was. It certainly was understood, you know, that

1 you couldn't -- you couldn't discuss any case with  
2 any parties once a case was filed or even if they  
3 knew that they would come up with an issue that was  
4 going to be filed we weren't to discuss it with them.  
5 I'm trying to think. I guess that was probably the  
6 extent of it. We had -- when I -- during my tenure  
7 here we got into settling a Kentucky American rate  
8 case and the staff got involved. Afterwards the  
9 attorney general said that the staff that's advising  
10 the commission can't be party to those negotiations.  
11 And I know it went through some court decisions and  
12 whatever, but at -- the staff typically had not been  
13 too involved in negotiations of settlements. And  
14 actually when I saw them in this case, that was  
15 probably the most active I've seen them since that  
16 time, which would have been back obviously prior to  
17 '89. So I -- you know, things probably had changed.  
18 You know, whatever we had -- whatever policy we had  
19 back in -- prior to '89.

20 Q. All right, sir. And so that I'm  
21 clear, you yourself did not participate in any  
22 discussions either as part of the settlement  
23 discussions or otherwise with the attorney general  
24 and/or his staff.

25 A. No.

1                   MR. GOLDBERG:   Okay.   That's all I  
2 have.   Thanks much.

3                   THE WITNESS:   Sure.

4                   (DEPOSITION EXHIBIT NO. 2 PREVIOUSLY  
5 MARKED)

6  
7                   (STATEMENT CONCLUDED AT 1:20 P.M.)

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1 STATE OF KENTUCKY ) (  
 ) ( SS:  
 2 COUNTY OF JEFFERSON ) (  
 3

4 I, ELLEN L. COULTER, Notary Public,  
 5 State of Kentucky at Large, hereby certify that the  
 6 foregoing sworn statement was taken at the time and  
 7 place stated in the caption; that the appearances  
 8 were as set forth in the caption; that prior to  
 9 giving testimony the witness was first duly sworn by  
 me; that said testimony was taken down by me in  
 stenographic notes and thereafter reduced under my  
 supervision to the foregoing typewritten pages and  
 that said typewritten transcript is a true, accurate  
 and complete record of my stenographic notes so  
 taken.

10 I further certify that I am not  
 11 related by blood or marriage to any of the parties  
 hereto and that I have no interest in the outcome of  
 captioned case.

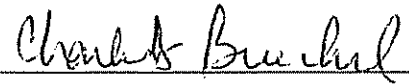
12 My commission as Notary Public expires  
 November 5, 2007.

13 Given under my hand this the 3rd  
 14 day of August, 2005, at Louisville,  
 15 Kentucky.

16  
 17 

18 ELLEN L. COULTER  
 19 NOTARY PUBLIC  
 20  
 21  
 22  
 23  
 24  
 25

1 I, the undersigned, CHUCK BUECHEL, do  
2 hereby certify that I have read the foregoing sworn  
3 statement, and that, to the best of my knowledge,  
4 said sworn statement is true and accurate, with the  
5 exception of the corrections, if any, listed on the  
6 errata sheet.

7  
8 

9 CHUCK BUECHEL

10  
11 Subscribed and sworn to before me this 11th  
12 day of October, 2005.

13  
14  
15  
16   
17 NOTARY PUBLIC

18  
19 My commission expires March 14, 2007  
20  
21  
22  
23  
24  
25

COULTER REPORTING, LLC  
101 EAST KENTUCKY STREET, SUITE 200  
LOUISVILLE, KY 40203

ERRATA SHEET

NAME CHUCK BUECHEL DATE OF DEPOSITION 7/25/05

After having read my deposition, I wish to make the following changes:

Page \_\_\_\_\_ Line \_\_\_\_\_  
Change \_\_\_\_\_  
Reason for change \_\_\_\_\_

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**GOLDBERG & SIMPSON, PSC**

June 29, 2005

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MARY A. MAPLE (1993-2003)

\*ALSO ADMITTED OHIO  
\*\*ALSO ADMITTED INDIANA  
\*ALSO ADMITTED ALABAMA  
\*ALSO ADMITTED DISTRICT  
OF COLUMBIA  
\*ALSO ADMITTED ILLINOIS

RE: Before the Public Service Commission in the Matter of:  
Application of Louisville Gas and Electric Company for an  
Adjustment of the Gas and Electric Rates, Terms and  
Conditions, CASE NO. 2003-00433, and in the Matter of:  
Application of Kentucky Utilities Company for an  
Adjustment of the Electric Rates, Terms and Conditions,  
CASE NO. 2003-00434

Dear Mr. Buechel:

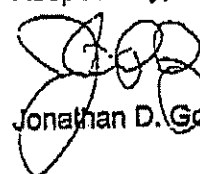
The undersigned is special general counsel to the Public Service  
Commission ("PSC").

We are conducting a civil investigation on behalf of the PSC of  
potential ex parte communication(s) in the above-referenced case. We  
have identified you as a participant in the proceeding by analysis of the  
public record of this case. We will be seeking the cooperation of all the  
participants in this case, and would very much appreciate your assistance  
by giving a voluntary statement concerning the proceedings in this case.  
As I am sure you know, the PSC does possess the power to command  
your presence by subpoena. We trust and hope that will be unnecessary.

I estimate your statement will require only 30 to 45 minutes, and  
wish to take it at the office of the PSC in Frankfort or if you unavailable in  
person telephonically. Please notify my office by contacting Ms. Michaela  
Nowell of a time that will accommodate your schedule on July 25, 2005.

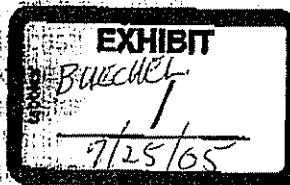
I would very much appreciate your prompt response, so that the  
investigation may be completed as soon as possible. If you have any  
questions, please do not hesitate to call upon me.

Respectfully,

  
Jonathan D. Goldberg

## LAW OFFICES

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3000 NATIONAL CITY TOWER  
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CASE NOS. 2003-00433 & 2003-00434 LOUISVILLE GAS & ELECTRIC COMPANY and KENTUCKY UTILITIES COMPANY INFORMAL CONFERENCE - April 28, 2004	
NAME	WITH
<i>Thomas L. Davis</i>	<i>PSC - Financial Analysis</i>
RICHARD RAFF	PSC-LEGAL
Mike Kurtz	KIUC
DAVID BOEHM	KIUC
Dennis Howard II	AGC
Betsy Blackford	OTG
Michael Brown	LGE/KU
<i>Harold R. Payne</i>	<i>Agenda Board &amp; Utility for LGE/KU</i>
Steve Seelye	LGE/KU
KENT BLAKE	LGE/KU
<i>John McCall</i>	<i>LGE/KU</i>
<i>DOROTHY D. BROWN</i>	<i>LGE/KU</i>
Walter Salas	LGE/KU
Robert Watt	LGE/KU
<i>David C. Brown</i>	<i>Kroger</i>
Geoff Young	KDOE - EPPC
Joe Childers	KACA / CAC
Iris Skidmore	KDOE - EPPC

EXHIBIT

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CASE NOS. 2003-00433 & 2003-00434 LOUISVILLE GAS & ELECTRIC COMPANY and KENTUCKY UTILITIES COMPANY INFORMAL CONFERENCE - April 28, 2004	
NAME	WITH
Lisa Kethilly	MHNA & POWER
DAVE BARBERIE	LFUGG
Chuck Buechel	NAS
Miguel Sanchez	NAS
NATHANIEL ADAMS	NAS
Kim McCann	NAS
Smitty Taylor	NAS
Jay Brew	NAS
BILL JONES	NAS
Bob Amato	PSC
Andrea Edwards	PSC
Fawad Shari fi	PSC
Matthew M. M. M.	PSC
Jeff Shaw	PSC

## Hearing Sign-In Sheet

Hearing: LG+E/KU
Case Number: 2003-00433/2003-00434
Location: HR#1
Today's Date: 5/4/04

NAME	COMPANY
Allyson Sturgeon	Counsel for LG+E/KU
Ang Conrad	Counsel for LG+E/KU
Mike Kurtz	KILC
John Wolfman	LG+E/KU
Phil Thompson	}
Chris Hermann	
Butch Cockerill	
Robert Rowland	"
Robert Ware	"
Kent W. Blum	"
Walter Sales	"
John McCall	"
Don Higgins	Concerned Citizens & Businessmen of Central Ky, Inc.
David A. McCormick	US Dept. of Defense or Federal Agencies
V. L. Stuffer	LG+E
RAND RIVER	LG+E
HOWARD BUSTO	LG+E
Dennis [unclear]	OAR
Hebert Bowman	FEWPO

**EXHIBIT**

 BLUECHEL  
 7/25/05 2

## Hearing Sign-In Sheet

Hearing:	LG+E / KU
Case Number:	2003-00433 / 2003-00434
Location:	HR #1
Today's Date:	5/4/04

NAME	COMPANY
Dave Sloan	Kroger
Jay Brew	NAS
Rebecca Brangiers	KACA
Joe Despain	Protec.
Martin Galt	LG&E
Edward Gardner	LFUG
Jack Burrell	COMMUNITY ACTION COUNCIL
Miguel Sanchez	NAS
William Jones	NAS
Scott Douglas	Capital Link (NAS)
Geoff Young	Ky. Division of Energy
Chuck Burchel	NAS
Stephen Cee	WDRB-TV
Tris Skidmore	EPDPC - OLS
Bob Kelly	MHNA + POWER
Ben Allen	Yunker + ASSOCIATES
Joe Childers	KACA / CAC
Carl Weaver	A+ Gen OTC

## Hearing Sign-In Sheet

Hearing: . LG+E / KU
Case Number: 2003-00433/2003-00434
Location: HR#1
Today's Date: 5/4/04

NAME	COMPANY
Betsy Bradford	OAG
Robert Vinkas	OAG
Chris Wheelan	LG+E
Chris Kreslitzky	"
Arli Marshall	"
Mike Bazz	"
DOT O'BRYEN	"
DAVID BOEHM	RUC
Frank E. Ryan	ONCAMP LGTE/KU
Clay Murphy	LG+E
Frank Pignone	LG+E
Pam Scanlon	CJ
DAVID BARBONIS	LFUCO
Edt Comy	LG+E
John Droscher	Stand Energy
Paul McCann	NAB
John P. Pirelli	AQ
Arletta Taylor	ATTY

## Hearing Sign-In Sheet

Hearing:	LG+E / KU
Case Number:	2003-00433/2003-00454
Location:	HR 41
Today's Date:	5/4/04

NAME	COMPANY
Marlene Herrington	Court Reporter
David Freiberg	KU / LG+E
Valerie Sautt	LG+E
Bill Wolf	Courier Journal
Keith Velade	MHNA
Jon Parkers	CAC
Carol Mink	WHAS TV
Forrest Claus	WHAS TV
NAT Adams	North American Stainless
Carlin Wade	WTVQ
Charlie Watson	WTVQ
Russel Hudson	LG&E
Tom Prisco	DOD

-	9/1 15/14 15/21 16/4 18/9 18/16 19/2 20/4 20/10	concerns [2] 6/18 7/3 concluded [2] 14/23 21/7 CONDITIONS [2] 1/6 1/11 conference [6] 9/4 9/11 9/14 9/17 10/17 10/20 conferences [1] 10/14 confidences [1] 6/13 consistent [2] 8/5 10/10 consultant [6] 4/4 4/6 4/12 4/15 6/4 19/20 contacted [1] 5/16 contract [2] 5/20 7/6 conversations [1] 17/21 correct [9] 3/15 3/23 4/25 6/6 6/11 10/1 12/9 15/20 17/23 cost [2] 6/19 6/22 could [2] 8/3 18/16 couldn't [2] 20/1 20/1 couldn't -- [1] 20/1 COULTER [4] 1/21 1/21 22/4 22/18 Coulter.LLC [1] 1/24 counsel [3] 2/9 8/2 14/6 country [1] 6/21 COUNTY [1] 22/2 couple [2] 7/24 14/11 court [2] 3/19 20/11 curious [1] 12/14 customer [1] 7/4 customers [1] 7/1
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<b>E</b> earlier [1] 8/3 East [1] 1/22 edification [1] 19/19 Edwards [1] 17/1 either [6] 8/3 8/8 11/25 18/6 18/21 20/22 ELECTRIC [3] 1/5 1/6 1/10 ELLEN [4] 1/21 16/12 22/4 22/18 else [5] 11/17 13/1 13/2 14/4 19/17 else -- [1] 13/1 end [1] 15/12 enough [1] 8/1 even [4] 13/18 16/6 18/12 20/2 ever [1] 18/4 Every [1] 18/24 everyday [2] 18/17 18/18 evidenced [1] 6/24 ex [1] 19/22 ex parte [1] 19/22 exact [2] 11/8 11/22 exactly [1] 12/18 EXAMINATION [1] 3/7 executive [2] 4/18 16/20 Executor [2] 16/19 16/19 Executor -- [1] 16/19 Exhibit [4] 2/2 2/4 3/25 21/4 expire [1] 5/20 expires [1] 22/12 Explain [1] 8/10 extent [1] 20/6	getting [2] 5/20 5/21 Gillis [1] 16/17 give [4] 5/8 8/12 8/24 12/6 Given [1] 22/13 giving [1] 22/6 go [3] 3/14 6/21 9/2 going [12] 5/2 5/22 5/23 5/25 6/17 7/4 8/24 9/2 12/3 13/12 15/14 20/4 Goldberg [4] 2/3 2/11 2/11 3/9 Goss [1] 16/9 got [6] 6/16 8/17 9/18 15/13 20/7 20/8 government [1] 4/20 great [1] 6/13 guess [4] 5/12 7/7 9/22 20/5 quess -- [1] 5/12	17/24 18/17 18/17 22/8 is -- [1] 18/17 Isaac [1] 16/22 issue [8] 9/20 9/21 12/4 12/4 12/18 15/4 17/14 20/3 issues [4] 10/12 17/22 18/2 18/13 it [38] it's [6] 3/12 3/18 3/18 3/20 13/14 18/9 its [1] 19/22
<b>F</b> fact [3] 5/23 10/10 15/15 Fair [1] 8/1 Faud [1] 17/3 FAX [1] 1/24 February [1] 4/7 feeling [1] 8/5 fellow [1] 13/25 fellow's [1] 13/18 Fifth [1] 2/12 file [4] 5/23 5/23 6/1 8/14 filed [8] 5/9 5/18 8/13 8/16 8/18 8/20 20/2 20/4 filing [5] 5/8 7/17 7/18 8/17 8/23 final [1] 15/8 finally [1] 15/6 find [1] 17/17 first [4] 5/7 8/8 9/4 22/6 fish [1] 9/22 folded [2] 6/9 8/18 folks [1] 18/11 foregoing [2] 22/5 22/8 former [2] 16/14 16/19 forth [1] 22/6 found [1] 3/22 Frankfort [2] 3/3 5/1 frankly [1] 12/6 fry [1] 9/22 full [1] 3/10 function [1] 10/5 further [2] 18/13 22/10	<b>H</b> had [18] 5/16 5/18 5/19 6/18 7/3 8/2 8/16 12/14 13/8 13/25 17/21 19/5 19/21 20/6 20/12 20/17 20/18 20/18 had -- [2] 20/6 20/18 half [1] 4/10 hand [1] 22/13 hard [1] 18/9 have [20] 4/5 5/15 8/21 8/22 9/16 10/11 10/16 10/20 12/19 15/4 15/7 18/4 18/18 18/23 19/1 19/2 19/6 20/16 21/2 22/11 having [3] 5/21 15/8 19/13 he [4] 8/3 13/24 14/8 17/16 head [1] 13/16 hear [1] 18/24 heard [2] 19/5 19/14 hearing [6] 9/10 9/12 10/25 11/2 18/21 19/4 hearings [1] 10/11 helping [1] 10/8 here [12] 4/18 5/1 8/2 12/20 13/13 17/24 18/5 18/6 18/16 18/22 19/21 20/7 here -- [1] 18/5 hereby [1] 22/4 hereto [1] 22/11 hesitating [1] 15/12 high [3] 6/24 6/25 7/2 his [2] 14/9 20/24 hold [2] 5/24 17/14 honestly [2] 12/24 15/16 how [5] 4/5 5/15 7/15 12/18 19/24 Huelsenman [2] 16/15 19/7 Huh [1] 13/21	<b>J</b> Jeff [1] 17/7 JEFFERSON [1] 22/2 Jonathan [2] 2/3 2/11 JULY [3] 1/18 3/4 3/24 June [1] 2/3 just [9] 5/16 10/3 10/4 11/15 12/14 13/14 14/12 15/3 19/19 justified [1] 6/19
<b>G</b> Gary [1] 16/17 GAS [2] 1/5 1/6 general [6] 2/9 8/2 14/13 17/15 20/9 20/23 generally [1] 6/12 George [1] 19/11 get [3] 5/25 7/8 17/16	<b>I</b> I -- [3] 9/18 20/6 20/17 I'm [12] 4/4 5/2 8/2 8/24 9/2 10/23 11/17 12/10 15/12 19/8 20/5 20/20 I've [2] 19/14 20/15 if [9] 6/9 7/23 8/20 9/3 11/17 15/13 15/15 17/16 20/2 immediately [1] 4/16 important [1] 9/20 improper [1] 7/3 in [66] in -- [2] 9/5 20/19 indicated [2] 5/3 15/19 infallible [1] 12/13 informal [5] 9/4 9/11 9/14 9/17 10/19 information [1] 7/24 interaction [1] 18/11 interest [1] 22/11 into [4] 7/24 8/18 19/7 20/7 INVESTIGATION [1] 1/4 involved [3] 12/1 20/8 20/13 is [11] 3/12 3/17 4/2 6/19 8/2 9/13 13/7	<b>K</b> Kendrick [1] 14/7 KENTUCKY [13] 1/1 1/11 1/22 1/23 2/13 3/3 4/23 5/19 19/7 20/7 22/1 22/4 22/15 kept [1] 12/4 kind [3] 7/22 12/16 18/9 knew [5] 5/11 11/14 12/4 15/13 20/3 knew -- [1] 12/4 know [11] 5/5 5/6 7/15 13/16 18/25 19/23 19/24 19/25 20/11 20/17 20/18 knowledge [4] 18/4 18/19 18/23 19/1 known [1] 5/15 KU [21] 5/6 5/22 6/17 7/8 7/16 10/3 10/4 11/11 11/15 12/8 12/23 12/25 13/7 13/9 13/11 13/19 14/2 14/5 14/12 14/24 15/22 KU's [1] 15/9
		<b>L</b> Large [1] 22/4 larger [1] 14/25 last [4] 4/18 15/5 15/15 15/16 least [2] 12/22 12/23 left [1] 4/18 let [3] 5/8 9/6 16/3 let's [2] 7/21 8/20 letter [4] 2/3 3/21 3/24 5/3 Lexington [1] 3/19 LG [2] 5/5 19/9 life [2] 18/17 18/18 life -- [1] 18/17 like [4] 6/18 8/21 8/22 11/20 LLC [1] 1/21 lobbyist's [1] 19/8 long [1] 4/5 look [1] 6/18 lot [1] 9/22 LOUISVILLE [4] 1/6 1/23 2/13 22/14 lunch [2] 19/6 19/13
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